

# Timing Analysis of Targeted Hunter Searches

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**Abstract.** One can determine all primitive number fields of a given degree and discriminant with a finite search of potential defining polynomials. We develop an asymptotic formula for the number of polynomials which need to be inspected which reflects both archimedean and non-archimedean restrictions placed on the coefficients of a defining polynomial.

Several authors have used Hunter's theorem to find a defining polynomial

$$x^n + a_1x^{n-1} + \cdots + a_{n-1}x + a_n \in \mathbf{Z}[x]$$

for each primitive degree  $n$  field of absolute discriminant  $D$  less than or equal to some cutoff  $\Delta$ . The method requires a computer search over all vectors  $(a_1, \dots, a_n)$  satisfying certain bounds.

In [JR1] we explained that one is sometimes particularly interested in the fields with  $D = \Delta$ , especially when all primes dividing  $D$  are very small. To find just these fields by a Hunter search, one imposes not only archimedean inequalities on the  $a_i$  as above, but also  $p$ -adic inequalities for each prime  $p$  dividing  $D$ . This is an example of a *targeted* search, the target being  $D$ .

In this paper we investigate the *search volume* of such Hunter searches, which approximates the number of polynomials one is required to inspect. We find that these search volumes have the form

$$\begin{aligned} \text{Search Volume}_n(D \leq \Delta) &= C(n, \infty) \Delta^{(n+2)/4} \\ \text{Search Volume}_n(D = \Delta) &= \left( \prod_{p^d | D} C(n, p^d) \right) C(n, \infty) \Delta^{(n-2)/4} . \end{aligned}$$

In Section 1 we work over  $\mathbf{R}$ . The constant  $C(n, \infty)$  is a sum of constants  $C(n, \infty^d)$ , one for each possible signature  $r + 2d = n$ . We identify the constant  $C(n, \infty^0)$  using a Selberg integral; the remaining integrals are harder and we evaluate them in the cases  $n \leq 7$ .

In Sections 2 and 3 we work over  $\mathbf{Q}_p$ . The constant  $C(n, p^d)$  is a sum of constants  $C(n, p^d, K)$ , one for each possible  $p$ -adic completion  $K$  with discriminant

$p^d$ . Evaluating  $C(n, p^d, K)$  requires evaluating an Igusa integral. We evaluate a few cases exactly and get a reasonable simple upper bound in all cases.

In Sections 4 and 5 we work over  $\mathbf{Q}$ . Section 4 describes Hunter's theorem and gives an asymptotic formula for the number of defining polynomials of a degree  $n$  algebra within a given search radius. In Section 5 we prove the above search volume formulas, and discuss how our results apply in practice.

We have carried out all targeted searches for  $n \leq 5$ , and  $D$  of the form  $p^a q^b$  with  $p$  and  $q$  primes  $\leq 19$ . Complete tables are available at [J1]. Our computations here show that the enormously harder case  $n = 6$  is feasible too. Search results will appear at [J1] as they become available.

We now fix some notation. Let  $F$  be a field of characteristic zero; typically  $F = \mathbf{Q}$  or one of its completions  $\mathbf{Q}_v$  in this paper. We work with finite dimensional  $F$ -algebras  $K$ . Here, all algebras are assumed to be separable. So,  $K$  factors canonically as a product of fields,  $K = \prod K_i$ .

We will work with monic degree  $n$  polynomials

$$f(x) = x^n + a_1 x^{n-1} + \cdots + a_{n-1} x + a_n \in F[x] .$$

Often we think of such polynomials as simply elements  $(a_1, \dots, a_n)$  of  $F^n$ . If  $f(x)$  is separable, then we call  $f(x)$  a defining polynomial for the  $F$ -algebra  $K = F[x]/f(x)$ . The factorization  $K = \prod K_i$  is induced by the factorization  $f(x) = \prod f_i(x)$  into irreducibles, via  $K_i = F[x]/f_i(x)$ .

Conversely, let  $K$  be an algebra and  $y \in K$ . Let  $f_y(x)$  be the characteristic polynomial of  $y$  acting on  $K$  by multiplication. Basic algebraic facts about the map  $c : K \rightarrow F^n$  defined by  $y \mapsto f_y$  underlie many of our considerations. For example,  $c$  induces a surjection

$$(\text{Regular elements of } K) \rightarrow (\text{Defining polynomials for } K)$$

with  $\text{Aut}(K)$  acting freely and transitively on the fibers. This accounts for the presence of  $|\text{Aut}(K)|$  in many formulas.

If  $f(x) = \prod_{i=1}^n (x - y_i)$  we put  $D(f) = \prod_{i < j} (y_i - y_j)^2$  and think of  $D$  as a polynomial function of the  $a_j$ , as usual. Finally, if  $F \subseteq \mathbf{C}$  we let  $T_2(f) = \sum_{i=1}^n |y_i|^2$ .

## 1 Archimedean Volumes

Let  $A$  be a degree  $n$  algebra over  $\mathbf{R}$ . So, we can simply take  $A = \mathbf{R}^r \times \mathbf{C}^d$  for some  $r + 2d = n$ . The characteristic polynomial of  $y \in A$  is

$$f_y(x) = x^n + a_1 x^{n-1} + \cdots + a_{n-1} x + a_n \in \mathbf{R}[x] .$$

Let  $A^0$  be the set of elements of  $A$  with trace 0, and consider the corresponding space of polynomials

$$P^0(A, r) = \{f_y \in \mathbf{R}[x] : y \in A^0 \text{ and } T_2(f_y) \leq r^2\} .$$

We measure the volume of  $P^0(A, r)$  with respect to the usual volume form  $da_2 \cdots da_n$ .

**Proposition 1.1.**

$$\text{vol}(P^0(A, r)) = \text{vol}(P^0(A, 1)) r^{(n+2)(n-1)/2}$$

*Proof.* One has a linear map

$$\begin{aligned} L: P^0(A, 1) &\rightarrow P^0(A, r) \\ (a_2, \dots, a_n) &\mapsto (r^2 a_2, \dots, r^n a_n) . \end{aligned}$$

The Jacobian of this map is  $r$  to the power

$$\sum_{j=2}^n j = \frac{(n+2)(n-1)}{2} .$$

□

This simple observation is the most important point in analyzing Hunter searches.

We work more generally with

$$\zeta_A(s) := \int_{P^0(A, 1)} |D|^{s-\frac{1}{2}} da_2 \cdots da_n .$$

The desired volume  $\text{vol}(P^0(A, 1))$  is just the special value  $\zeta_A(1/2)$ . A general formula for  $\zeta_A(s)$  would be desirable, since it would give one the moments of the polynomial discriminants encountered in a Hunter search. For example, to compute the average polynomial discriminant encountered one needs the number  $\zeta_A(3/2)$ , as well as  $\zeta_A(1/2)$ .

Let  $A^0(1)$  be the unit ball in  $A^0$ ; it is a degree  $|\text{Aut}(A)|$  cover of  $P^0(A, 1)$  via the characteristic polynomial map  $c$ . One can pull back the defining integral to  $A^0(1)$ ; at this step the Jacobian  $|D|^{1/2}$  enters the integrand. One can next extend the integral to the full unit ball  $A(1)$ . Using the homogeneity of the integrand, one can replace the sharp radial cutoff  $\rho \leq 1$  by an integral over all of  $A$  against a Gaussian  $e^{-\rho^2/2}$ . The net result is

$$\zeta_A(s) = \frac{2^{n(s-ns-1)/2}}{|\text{Aut}(A)| \sqrt{\pi n} \left( \frac{(ns+1)(n-1)}{2} \right)!} \int_A e^{-\rho^2/2} |D|^s \omega .$$

Here  $\omega$  is the standard volume form on  $A$ , giving the unit ball  $\rho \leq 1$  its usual volume  $\pi^{n/2}/(n/2)!$ . Also  $|\text{Aut}(\mathbf{R}^r \times \mathbf{C}^d)| = r!d!2^d$ .

**Proposition 1.2.**

$$\text{vol}(P^0(\mathbf{R}^n, 1)) = \frac{2^{-n(n-5)/4} \prod_{j=1}^n (j/2)!}{n! \sqrt{\pi n} \left( \frac{(n+2)(n-1)}{4} \right)!}$$

*Proof.* In the case  $A = \mathbf{R}^n$  the roots  $y_1, \dots, y_n$  are coordinates on  $A$  and  $\omega = dy_1 \cdots dy_n$ . A special case of Selberg's integral is

$$\int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} e^{-r^2/2} |D|^s dy_1 \cdots dy_n = (2\pi)^{n/2} \prod_{j=1}^n \frac{(js)!}{s!};$$

see e.g. [M1], 17.6.7. Evaluating at  $s = 1/2$  this becomes  $2^{3n/2} \prod_{j=1}^n (j/2)!$ , yielding the proposition.  $\square$

**Proposition 1.3.** *The ratios  $\text{vol}(P^0(\mathbf{R}^r \times \mathbf{C}^d, 1))/\text{vol}(P^0(\mathbf{R}^n, 1))$  for  $n \leq 7$  are as follows.*

| $d \backslash n$ | 3 | 4  | 5                | 6                | 7                  |
|------------------|---|----|------------------|------------------|--------------------|
| 0                | 1 | 1  | 1                | 1                | 1                  |
| 1                | 5 | 18 | 58               | 179              | 543                |
| 2                |   | 9  | $134\frac{1}{3}$ | 1355             | 11875              |
| 3                |   |    |                  | $451\frac{2}{3}$ | $17466\frac{1}{3}$ |

*Proof.* Let

$$I = \prod_{1 \leq i < j \leq n} (y_i - y_j)$$

be the indicated square root of  $D$ . We need to compute

$$\int_{\mathbf{R}^r \times \mathbf{C}^d} e^{-\rho^2/2} |I| \omega.$$

Taking  $y_{r+1}, \dots, y_{r+d}$  as coordinates on  $\mathbf{C}^d$  and writing  $y_k = (u_k + iv_k)/\sqrt{2}$  one has

$$\begin{aligned} \rho^2 &= \sum_{j=1}^r y_j^2 + \sum_{k=1}^d (u_k^2 + v_k^2) \\ \omega &= dy_1 \cdots dy_r du_1 \cdots du_d dv_1 \cdots dv_d \\ |I| &= f(y_1, \dots, y_r, u_1, \dots, u_d, v_1, \dots, v_d) \prod_{1 \leq i < j \leq r} |y_i - y_j| \prod_{k=1}^d |v_k| \end{aligned}$$

with  $f$  a polynomial. One can expand  $f$  and integrate out the  $u_k$ 's and the  $v_k$ 's using

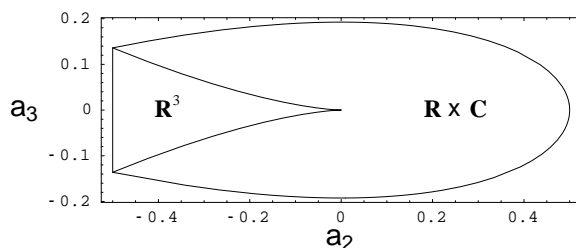
$$\int_0^{\infty} e^{-x^2/2} x^j dx = 2^{(j-1)/2} \left(\frac{j-1}{2}\right)!$$

$2d$  times on each term. One is left with an integral of the form

$$\int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} e^{-(y_1^2 + \dots + y_r^2)/2} \left( \prod_{1 \leq i < j \leq r} |y_i - y_j| \right) g(y_1, \dots, y_r) dy_1 \cdots dy_r$$

with  $g(y_1, \dots, y_r)$  a symmetric polynomial in the  $y_i$ . Here the absolute values pose a problem. For  $r \leq 3$  this obstruction can be surmounted in an elementary way and one can again integrate term-by-term. When  $d = 1$  the moment formulas in [M1], Section 17.8 suffice. This covers all cases with  $n \leq 7$ .  $\square$

The case of cubics  $f(x) = x^3 + a_2x + a_3$  is illustrative. The two regions  $P^0(A, 1)$  are shown in Figure 1. In the case  $A = \mathbf{R} \times \mathbf{C}$ , let  $r^2 = y_1^2 + |y_2|^2 + |y_3|^2$



**Fig. 1.** The sets  $P^0(A, 1)$  for cubics  $f(x) = x^3 + a_2x + a_3$

and express the real root  $y_1$  as  $rt$ . Then the defining integral for  $\zeta_{\mathbf{R} \times \mathbf{C}}(s)$  can be evaluated by changing variables from  $(a_2, a_3)$  to  $0 \leq r \leq 1$ ,  $-\sqrt{2/3} \leq t \leq \sqrt{2/3}$ . The result is

$$\zeta_{\mathbf{R} \times \mathbf{C}}(s) = \frac{\sqrt{\pi} 2^{-s-1} 3^{2s-\frac{1}{2}} (s-1/2)!}{(1+3s) s!} {}_2F_1\left(-2s, \frac{1}{2} + s; 1 + s; \frac{2}{3}\right).$$

The presence of the hypergeometric function  ${}_2F_1$  indicates that the general  $\zeta_{\mathbf{R}^r \times \mathbf{C}^d}(s)$  is more complicated than the general  $\zeta_{\mathbf{R}^n}(s)$ .

## 2 Ultrametric Masses

The set of isomorphism classes of degree  $n$  algebras  $A$  over  $\mathbf{Q}_p$  is much more complicated than in the archimedean case  $v = \infty$ . A starting point for analyzing this set is a mass formula, due to Krasner and Serre [S1]. Here is a quick summary, more details being contained in [R2].

The mass of  $A$  is by definition  $m_A := 1/|\text{Aut}(A)|$ . Let  $\mathbf{Q}_p^{\text{un}}$  be a maximal unramified extension of  $\mathbf{Q}_p$  and put  $A^{\text{un}} = A \otimes_{\mathbf{Q}_p} \mathbf{Q}_p^{\text{un}}$ . Call two algebras  $A_1$  and  $A_2$  geometrically equivalent if  $A_1^{\text{un}} \cong A_2^{\text{un}}$ . Then, the sum of  $m_A$  over  $A$  in a geometric equivalence class is 1.

Let  $m_{n,p^d}$  be the sum of  $m_A$  over all totally ramified  $A$  of degree  $n$  and discriminant  $p^d$ . Then, in the tame case  $p \nmid n$ , the only non-vanishing  $m_{n,p^d}$  is  $m_{n,p^{n-1}} = 1$ . The first few wild cases are shown in Table 1. Other wild cases are more complicated, but are also governed by the Krasner-Serre mass formula  $\sum_d m_{n,p^d} p^{n-1-d} = 1$ .

The general case reduces to the totally ramified case just summarized. Given  $A$ , from the canonical factorization  $A^{\text{un}} = \prod A_i^{\text{un}}$  one gets an unordered collection of  $(n_i, d_i)$ . The sum of  $m_A$  over algebras  $A$  giving rise to these  $(n_i, d_i)$  is  $\prod m_{n_i, p^{d_i}}$ . In particular, the degree partition  $\lambda_A = (n_1, n_2, \dots)$  is a complete geometric invariant of a tame algebra.

**Table 1.** Masses for low degree wildly ramified algebras

|   | $d$ | $m_{4,2^d}$ | $m_{6,2^d}$ | $m_{6,3^d}$ |
|---|-----|-------------|-------------|-------------|
|   | 4   | 1           |             |             |
|   | 5   |             |             |             |
| $m_{p,p^d} = \begin{cases} p-1 & \text{if } p \leq d \leq 2p-2 \\ p & \text{if } d = 2p-1 \\ 0 & \text{else} \end{cases}$ | 6   | 2           | 1           | 2           |
|   | 7   |             |             | 2           |
|   | 8   | 4           | 2           |             |
|   | 9   | 4           |             | 6           |
|   | 10  | 4           | 4           | 6           |
|   | 11  | 8           | 8           | 9           |

Let  $M(n, p^d)$  be the sum of  $m_A$  over all  $p$ -adic algebras with degree  $n$  and discriminant  $p^d$ . The above discussion is sufficient for computing  $M(n, p^d)$  for  $n \leq 7$ . The integers  $M(n, p^d)$  appear in Corollary 3.3 and also the table in Section 5.

### 3 Ultrametric Volumes

Let  $A$  be a degree  $n$  algebra over  $\mathbf{Q}_p$ , with ring of integers  $\mathcal{O}$ , and discriminant  $p^{d_A}$ . Define

$$P(A) = \{f_y(x) \in \mathbf{Z}_p[x] : y \in \mathcal{O}\} .$$

We measure volumes with  $da_1 \cdots da_n$ , so that all of  $\mathbf{Z}_p^n$  gets volume 1.

**Proposition 3.1.** *With  $Z_A(t)$  as defined in the proof below,*

- (i)  $\text{vol}(P(A)) = \frac{Z_A(1/p)}{|\text{Aut}(A)| p^{d_A}}$
- (ii)  $Z_A(1/p) \leq 1$ .

*Proof.* Let  $\omega$  be Haar measure on  $A$ , normalized so that  $\omega(\mathcal{O}) = 1$ . We use the characteristic polynomial map  $c: \mathcal{O} \rightarrow P(A)$ . Pulled back to  $\mathcal{O}$ , the polynomial discriminant function  $D$  factors as  $p^{d_A} I^2$ . Here,  $I$  is a polynomial function on  $\mathcal{O}$  with  $\mathbf{Z}_p$  coefficients. Note,  $\mathbf{Z}_p[y]$  has index  $1/|I(y)|_p$  in  $\mathcal{O}$ .

The Jacobian function  $c^*(da_1 \cdots da_n)/\omega$  is  $p^{-d_A} |I|_p$ . On regular elements, i.e. elements on which  $I$  is non-zero,  $c$  has degree  $\text{Aut}(A)$ . So

$$\begin{aligned} \zeta_A(s) &:= \int_{P(A)} |D|_p^{s-\frac{1}{2}} da_1 \cdots da_n = \frac{1}{|\text{Aut}(A)|} \int_{\mathcal{O}} |p^{d_A} I^2|_p^{s-\frac{1}{2}} p^{-d_A} |I|_p \omega \\ &= \frac{1}{|\text{Aut}(A)| p^{d_A(\frac{3}{2}-s)}} \int_{\mathcal{O}} |I|_p^{2s} \omega \\ &= \frac{Z_A(p^{-2s})}{|\text{Aut}(A)| p^{d_A(\frac{3}{2}-s)}} . \end{aligned}$$

Here we have defined

$$Z_A(t) = \sum_{j=0}^{\infty} \omega(\mathcal{O}[j])t^j$$

with  $\mathcal{O}[j]$  the set of  $y \in \mathcal{O}$  with  $|I(y)|_p = 1/p^j$ . Plugging in  $s = 1/2$  gives part (i).

To prove part (ii), we note that  $Z_A(t)$  is a power series with positive coefficients such that  $Z_A(1) = \omega(\mathcal{O}) = 1$ . It is an increasing function on  $[0, 1]$  and so  $Z_A(1/p) \leq 1$ .  $\square$

The function  $Z_A(t)$  is an example of an Igusa zeta function [D1]. Thus, it is known to be in  $\mathbf{Q}(t)$ .

Proposition 3.1 and its proof make no reference to the classification of  $p$ -adic algebras sketched in Section 2. Define

$$P(\lambda) = \bigcup_{\lambda_A = \lambda} P(A)$$

$$P(n, p^d) = \bigcup_{d_A = d} P(A) .$$

Summing over  $A$  with  $\lambda_A = \lambda$  in Proposition 3.1 and using the Krasner-Serre mass formula gives Corollary 3.2 below. Summing over  $A$  with  $d_A = d$  in Proposition 3.1 and using the definition of  $M(n, p^d)$  gives Corollary 3.3.

**Corollary 3.2.** *For  $\lambda$  a partition of  $n$ ,*

$$\text{vol}(P(\lambda)) \leq \frac{1}{p^{n-\ell(\lambda)}} .$$

where  $\ell(\lambda)$  denotes the length of  $\lambda$ .

**Corollary 3.3.** *For  $d \in \mathbf{Z}_{\geq 0}$ ,*

$$\text{vol}(P(n, p^d)) \leq \frac{M(n, p^d)}{p^d} .$$

On the other hand, one can also prove Corollary 3.2 directly, using neither the Krasner-Serre mass formula, nor Proposition 3.1.

*Direct proof of Corollary 3.2.* Write  $\lambda = (\lambda_1, \dots, \lambda_{\ell(\lambda)})$ , with each  $\lambda_i > 0$ . Let  $P(\lambda)_1 \subset \mathbf{F}_p^n$  be the reduction of  $P(\lambda) \subset \mathbf{Z}_p^n$ . For  $e$  a positive integer, let  $\mu_e$  be the number of  $i$  such that  $\lambda_i = e$ . Very simply,

$$P(\lambda)_1 = \left\{ \prod_e f_e(x)^e \right\}$$

where  $f_e(x) \in \mathbf{F}_p[x]$  is monic of degree  $\mu_e$ . To give an element of  $P(\lambda)_1$  is to give the coefficients of the  $f_e$ . There are  $\sum \mu_e = \ell(\lambda)$  coefficients, and so  $P(\lambda)_1$  is  $p^{\ell(\lambda)}/p^n$  of  $\mathbf{F}_p^n$ .  $\square$

It would be nice to compute  $Z_A(1/p)$  exactly. To do this it seems necessary to compute all of  $Z_A(t)$ . We have succeeded when  $n$  is prime and  $A$  is a field; the results in the unramified case  $U$  and the totally ramified case  $R$  are

$$Z_U(t) = \frac{1 - \frac{1}{p^{n-1}}}{1 - \frac{t^{n(n-1)/2}}{p^{n-1}}} \quad Z_R(t) = \frac{\left(1 - \frac{1}{p}\right) \left(1 - \frac{t^{(n-1)^2/2}}{p^{n-1}}\right)}{\left(1 - \frac{t^{(n-1)/2}}{p}\right) \left(1 - \frac{t^{n(n-1)/2}}{p^{n-1}}\right)} .$$

We have also computed several more difficult  $Z_A(t)$ , sometimes directly, and sometimes making use of the stationary phase formula [D1], Theorem 3.4. The resulting formulas are quite complicated.

## 4 The Search Set

Let  $K$  be a degree  $n$  algebra over  $\mathbf{Q}$  with absolute discriminant  $D$ . With respect to the quadratic form  $T_2$ , one has an orthogonal decomposition  $K = K^0 \oplus \mathbf{Q}$ ,  $K^0$  being the subspace of traceless elements.

Let  $\mathcal{O}$  be the ring of integers in  $K$ . Let  $\mathcal{O}'$  be the projection of  $\mathcal{O}$  to  $K^0$ . As a lattice in the Euclidean space  $K^0 \otimes \mathbf{R}$ ,  $\mathcal{O}'$  has covolume  $\sqrt{D/n}$ .

Let  $g_m$  be the smallest real number so that every lattice in Euclidean space  $\mathbf{R}^m$  with covolume  $V$  has a non-zero vector of length  $\leq (g_m V^2)^{1/(2m)}$ . The value of  $g_m$  is known ([CS1], Table 1.2) for  $m \leq 8$ .

|       |   |                |   |   |   |                 |    |     |
|-------|---|----------------|---|---|---|-----------------|----|-----|
| $m$   | 1 | 2              | 3 | 4 | 5 | 6               | 7  | 8   |
| $g_m$ | 1 | $1\frac{1}{3}$ | 2 | 4 | 8 | $21\frac{1}{3}$ | 64 | 256 |

In the literature one often sees Hermite's constant  $\gamma_m = \sqrt[m]{g_m}$  instead of  $g_m$ .

Define

$$r_D = \left( \frac{g_{n-1} D}{n} \right)^{1/(2n-2)} .$$

One gets immediately that in  $\mathcal{O}'$  there is a non-zero vector  $y'$  of length  $\leq r_D$ . The subalgebra  $\mathbf{Q}(y')$  of  $K$  strictly contains  $\mathbf{Q}$ ; so if  $K$  is a primitive field,  $\mathbf{Q}(y')$  is automatically all of  $K$ .

Henceforth in this paper we take  $n \geq 3$  to avoid trivialities. By replacing  $y'$  by  $-y'$  one can assume that  $a'_3 \geq 0$  in its characteristic polynomial. As  $j$  varies from 0 to  $n-1$ , exactly one of  $y = y' - j/n$  is in  $\mathcal{O}$ . This element  $y$  has characteristic polynomial  $f_y \in P(r_D)$ ; here the *search set*  $P(r)$  is the set of polynomials

$$f(x) = \prod_{i=1}^n (x - y_i) = x^n + a_1 x^{n-1} + \cdots + a_{n-1} x + a_n \in \mathbf{Z}[x]$$

satisfying the two conditions



(i) trace condition:

$$a_1 \in \{0, \dots, n-1\} \text{ and } a_3 \geq \frac{(n-2)a_1a_2}{n} - \frac{(n-1)(n-2)a_1^3}{3n^2}$$

(ii) length condition:

$$T_2(f) \leq \frac{a_1^2}{n} + r^2 .$$

**Proposition 4.1.** *Let  $K$  be a degree  $n$  algebra over  $\mathbf{Q}$  with absolute discriminant  $D$ . Let  $m(K, \Delta)$  be the number of defining polynomials for  $K$  in  $P(r_\Delta)$ .*

- (i) *If  $K$  is a primitive field and  $D \leq \Delta$ , then  $m(K, \Delta) \geq 1$ .*  
(ii) *For general  $K$ ,*

$$m(K, \Delta) \sim \frac{m_n}{|\text{Aut}(K)|} \sqrt{\frac{\Delta}{D}} \quad \text{with} \quad m_n = \frac{\sqrt{g_{n-1}} \pi^{(n-1)/2}}{2 \binom{n-1}{2}!}$$

as  $\Delta \rightarrow \infty$ .

*Proof.* Part (i) is essentially Hunter's theorem, see e.g. [C1], Theorem 6.4.1. It is proved by our discussion above. Our trace condition is a modification of the standard one. We make this modification in order to fully exploit the involution  $y' \mapsto -y'$ , thereby making  $|P(r)|$  as small as possible.

For Part (ii), let  $\mathcal{O}'_{\Delta,+}$  be the subset of  $\mathcal{O}'$  consisting of elements  $y'$  with length  $\leq r_\Delta$  and  $a'_3 \geq 0$ . Let  $\mathcal{O}'_{\text{reg},\Delta,+}$  be the subset of  $\mathcal{O}'_{\Delta,+}$  consisting of regular elements. Then

$$\begin{aligned} |\text{Aut}(K)| m(K, \Delta) &= |\mathcal{O}'_{\text{reg},\Delta,+}| \sim |\mathcal{O}'_{\Delta,+}| \sim \frac{(\text{Volume of ball of radius } r_\Delta)}{2 (\text{Covolume of } \mathcal{O}')} \\ &= \frac{(r_\Delta \sqrt{\pi})^{n-1} / \binom{n-1}{2}!}{2\sqrt{D/n}} = \frac{\sqrt{g_{n-1}} \pi^{(n-1)/2}}{2 \binom{n-1}{2}!} \sqrt{\frac{\Delta}{D}} \end{aligned}$$

as  $\Delta \rightarrow \infty$ . □

Part (ii) relates to the phenomenon that searches tend to find several defining polynomials for each primitive field sought, as well as defining polynomials for non-primitive fields. For  $3 \leq n \leq 9$  one has

|       |     |     |     |     |      |      |      |
|-------|-----|-----|-----|-----|------|------|------|
| $n$   | 3   | 4   | 5   | 6   | 7    | 8    | 9    |
| $m_n$ | 1.8 | 3.0 | 4.9 | 7.4 | 11.9 | 18.9 | 32.5 |

to one decimal place.

## 5 Timing Analysis

To incorporate targeting into the formalism, let  $S$  be a finite set of places of  $\mathbf{Q}$  containing  $\infty$ . For  $v \in S$ , let  $A_v$  be a degree  $n$  algebra over  $\mathbf{Q}_v$ . Let

$$P(\{A_v\}, r) = \{f(x) \in P(r) : \mathbf{Q}_v[x]/f(x) \cong A_v \text{ for } v \in S\} .$$

From Proposition 4.1,  $P(\{A_v\}, r_\Delta)$  contains a defining polynomial for every primitive degree  $n$  field  $K$  with absolute discriminant  $D \leq \Delta$  and  $K_v \cong A_v$ ,  $v \in S$ .

**Proposition 5.1.**

$$|P(\{A_v\}, r_\Delta)| \sim \frac{n}{2} \left( \frac{g_{n-1}}{n} \right)^{(n+2)/4} \text{vol}(P^0(A_\infty, 1)) \left( \prod_p \text{vol}(P(A_p)) \right) \Delta^{(n+2)/4}$$

as  $\Delta \rightarrow \infty$ .

*Proof.* For elements in  $P(\{A_\infty\}, r_\Delta)$  there are  $n$  possible values of  $a_1$ , each giving asymptotically the same number of polynomials; this accounts for the factor  $n$ . Those with  $a_1 = 0$  are the intersection of the standard lattice  $\mathbf{Z}^{n-1}$  with the interior of the region  $P^0(A_\infty, r_\Delta)_+$  in  $\mathbf{R}^{n-1}$ . The  $+$  indicates the extra condition  $a_3 \geq 0$ , and accounts for the 2 in the denominator. Proposition 1.1 and the definition of  $r_\Delta$  account for the factor  $\text{vol}(P^0(A_\infty, r_\Delta)) = (g_{n-1}\Delta/n)^{(n+2)/4} \text{vol}(P^0(A_\infty, 1))$ . Finally the ultrametric conditions account for the extra factors  $\text{vol}(P(A_p))$ .  $\square$

The deeper Propositions 1.2 and 1.3 determine the archimedean volumes for  $n \leq 7$ ; Proposition 3.1 bounds the ultrametric volumes in general.

Proposition 5.1 is an asymptotic formula. However one would expect, and experience shows, that it applies well when  $\Delta$  is simply the product of the discriminants of the  $A_p$ 's. In this restricted context, and summing over  $p$ -adic algebras with a given discriminant, the formula can be restated as follows. Define

$$C(n, \infty^d) = \frac{n}{2} \left( \frac{g_{n-1}}{n} \right)^{(n+2)/4} \text{vol}(P^0(\mathbf{R}^{n-2d} \times \mathbf{C}^d, 1))$$

$$C(n, p^d) = \text{vol}(P(n, p^d)) p^d .$$

Then, a Hunter search for all primitive fields of signature  $(n - 2d_\infty, d_\infty)$  and absolute discriminant  $\prod p^{d_p}$  requires inspection of approximately

$$C(n, \infty^{d_\infty}) \prod_p C(n, p^{d_p}) p^{d_p(n-2)/4}$$

polynomials. (Naturally there are no such fields unless  $(-1)^{d_\infty} \prod p^{d_p}$  is congruent to 0 or 1 modulo 4. If  $n = 6$ , some searches can be replaced by easier searches via sextic twinning [R1].)

In the literature there are several methods which allow one to implement the length inequality and target the local algebra at  $\infty$  with little loss [BFP1],

[SPD1], [O1], [DO1]. In principal,  $p$ -adic bounds on the  $a_i$  giving only the slight loss  $C(n, p^d) \leq M(n, p^d)$  of Corollary 3.3 are easy to describe since they amount to collections of congruences on the  $a_i$ . For example, in the tame case one can follow the direct proof of Corollary 3.2. In practice, for large  $p$  and/or  $n$  it can become unwieldy to implement sharp  $p$ -adic bounds as well.

Table 2 below gives what we call local difficulty ratings, namely the numbers  $\log_{10}(C(n, \infty^d))$  and  $\log_{10}(M(n, p^d)p^{d(n-2)/4})$ . All entries are rounded to the nearest tenth. The rows labelled *All* give totals for all values of  $d$ .

**Table 2.** Local Difficulty Ratings

| $d$        | $\infty$ | 2               | 3   | 5   | 7   | 11  | 13  | $\infty$ | 2               | 3   | 5   | 7    | 11  | 13  |
|------------|----------|-----------------|-----|-----|-----|-----|-----|----------|-----------------|-----|-----|------|-----|-----|
| 0          | -3.1     | <b>Quartics</b> |     |     |     |     |     | -5.3     | <b>Quintics</b> |     |     |      |     |     |
| 1          | -1.9     | -               | 0.2 | 0.3 | 0.4 | 0.5 | 0.6 | -3.5     | -               | 0.4 | 0.5 | 0.6  | 0.8 | 0.8 |
| 2          | -2.2     | 0.6             | 0.5 | 1.0 | 1.1 | 1.3 | 1.4 | -3.1     | 0.8             | 0.7 | 1.3 | 1.6  | 1.9 | 2.0 |
| 3          |          | 0.8             | 1.2 | 1.0 | 1.3 | 1.6 | 1.7 |          | 1.0             | 1.6 | 1.9 | 2.2  | 2.6 | 2.8 |
| 4          |          | 0.9             | 1.3 |     |     |     |     |          | 1.5             | 2.1 | -   | 2.5  | 3.1 | 3.3 |
| 5          |          | 1.1             | 1.7 |     |     |     |     |          | 1.7             | 2.5 | 3.2 |      |     |     |
| 6          |          | 1.7             |     |     |     |     |     |          | 2.1             | 2.6 | 3.7 |      |     |     |
| 7          |          | -               |     |     |     |     |     |          | -               |     | 4.3 |      |     |     |
| 8          |          | 1.8             |     |     |     |     |     |          | 2.4             |     | 4.8 |      |     |     |
| 9          |          | 2.0             |     |     |     |     |     |          | 2.6             |     | 5.4 |      |     |     |
| 10         |          | 2.1             |     |     |     |     |     |          | 2.9             |     |     |      |     |     |
| 11         |          | 2.6             |     |     |     |     |     |          | 3.4             |     |     |      |     |     |
| <i>All</i> | -1.7     | 2.9             | 1.9 | 1.4 | 1.5 | 1.8 | 1.9 | -3.0     | 3.6             | 3.0 | 5.5 | 2.7  | 3.3 | 3.5 |
| 0          | -8.1     | <b>Sextics</b>  |     |     |     |     |     | -11.4    | <b>Septics</b>  |     |     |      |     |     |
| 1          | -5.9     | -               | 0.5 | 0.7 | 0.8 | 1.0 | 1.1 | -8.7     | -               | 0.6 | 0.9 | 1.1  | 1.3 | 1.4 |
| 2          | -5.0     | 0.9             | 1.0 | 1.7 | 2.0 | 2.4 | 2.5 | -7.3     | 1.1             | 1.2 | 2.0 | 2.4  | 2.9 | 3.1 |
| 3          | -5.5     | 1.2             | 2.0 | 2.6 | 3.0 | 3.6 | 3.8 | -7.2     | 1.4             | 2.4 | 3.1 | 3.6  | 4.4 | 4.7 |
| 4          |          | 1.9             | 2.7 | 3.1 | 3.9 | 4.6 | 4.9 |          | 2.2             | 3.2 | 4.0 | 4.8  | 5.8 | 6.2 |
| 5          |          | 2.1             | 3.1 | 4.2 | 4.2 | 5.2 | 5.6 |          | 2.5             | 3.9 | 5.1 | 5.8  | 7.0 | 7.4 |
| 6          |          | 2.8             | 3.8 | 4.8 |     |     |     |          | 3.4             | 4.7 | 6.2 | -    | 7.8 | 8.4 |
| 7          |          | 2.7             | 4.1 | 5.5 |     |     |     |          | 3.5             | 5.2 | 7.0 | 8.2  |     |     |
| 8          |          | 3.5             | 4.8 | 6.2 |     |     |     |          | 4.3             | 5.9 | 7.9 | 9.2  |     |     |
| 9          |          | 3.9             | 5.4 | 7.0 |     |     |     |          | 4.6             | 6.4 | 8.8 | 10.3 |     |     |
| 10         |          | 4.1             | 5.9 |     |     |     |     |          | 5.0             | 7.1 | 9.4 | 11.3 |     |     |
| 11         |          | 4.8             | 6.2 |     |     |     |     |          | 5.6             | 7.5 |     | 12.4 |     |     |
| 12         |          | 4.7             |     |     |     |     |     |          | 5.7             |     |     | 13.5 |     |     |
| 13         |          | 5.1             |     |     |     |     |     |          | 6.3             |     |     | 14.6 |     |     |
| 14         |          | 5.4             |     |     |     |     |     |          | 6.5             |     |     |      |     |     |
| <i>All</i> | -4.8     | 5.7             | 6.4 | 7.1 | 4.4 | 5.3 | 5.7 | -6.9     | 6.8             | 7.7 | 9.5 | 14.6 | 7.9 | 8.4 |

The translation from search volumes to search times requires that one incorporate a number of practical concerns as well. All told, one can expect that a degree  $n$  search with difficulty  $x$  will take longer than a degree  $n - 1$  search with

difficulty  $x$ . For our current programs, in degrees 5 and 6 on a medium speed personal computer, the translation from volumes to times goes as follows.

For quintics, searches with difficulty rating  $x$  take us about  $10^{x-7.9}$  days. For example, the search for all  $2^{11}3^65^9$  quintics has difficulty rating  $-3.0 + 3.4 + 2.6 + 5.4 = 8.4$  and took  $10^{0.5} \approx 3$  days.

For sextics, searches with difficulty rating  $x$  take us about  $10^{x-7.3}$  days. For example, the search for all primitive  $2^{14}5^9$  sextics has difficulty rating  $-4.8 + 5.4 + 7.0 = 7.6$  and took around  $10^{0.3} \approx 2$  days.

In [JR1] we found all sextic fields ramified within  $S = \{\infty, 2, 3\}$ . Table 2 shows that a few other  $\{\infty, p, q\}$  are easier, while harder cases like  $\{\infty, 3, 5\}$  are feasible too.

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